



UNITED STATES PATENT AND TRADEMARK OFFICE

W
UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/002,178	12/31/1997	MARK T. BOHR	042390P4220	7527

7590 04/08/2004

MICHAEL A BERNADICOU
BLAKELY SOKOLOFF TAYLOR ZAFMAN
12400 WILSHIRE BOULEVARD
7TH FLOOR
LOS ANGELES, CA 90025

EXAMINER

GUERRERO, MARIA F

ART UNIT PAPER NUMBER

2822

DATE MAILED: 04/08/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/002,178

Applicant(s)

BOHR, MARK T.

Examiner

Maria Guerrero

Art Unit

2822

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 02 January 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 31-39 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 31-39 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

1. This Office Action is in response to the Amendment filed January 2, 2004.
Claims 1-30 and 40-54 are canceled.
Claims 31-39 are pending.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 31-39 are rejected under 35 U.S.C. 103(a) as being unpatentable over Efland et al. (U.S. 6,025,275) and Byrne (U.S. 5,136,364) in view of Yabu et al. (U.S. 5,989,992).

Regarding claims 31-39, Efland et al. teaches forming a dielectric layer 22 over a metal layer on a substrate, the metal layer including a bond pad and a metal member space apart from the bond pad by a gap (fig. 1A), the dielectric layer 22 may be composed of several layers of dielectric having different makeup, the dielectric layer 22 may be composed by several layers (oxide, nitride, or polyimides) (col. 3, lines 40-45, col. 5, lines 25-30).

Efland et al. also discloses forming an opening (fig. 1A). Efland et al. teaches forming a conducting barrier layer 30 over the dielectric layer, over sidewalls of the opening, and over the exposed top surface of the bond pad (fig. 1B, the formation of a

continuous seal is inhered). Furthermore, Efland et al. shows an electroplating process to form a copper lead 50 (fig. 1C, col. 5, lines 30-40).

Efland et al. does not specifically show the formation of first, second, and third material. However, Efland et al. teaches the dielectric layer 22 may be composed by several layers (oxide, nitride, or polyimides) (col. 3, lines 40-45, col. 5, lines 25-30). In addition, Byrne '364 shows the formation of the material to prevent moisture penetration (col. 2, lines 45-65).

Efland et al. fails to show forming the fourth material comprising an upper 4,000 Angstroms thick nickel vanadium film. However, this is known in the art as evidenced Byrne '364.

Byrne '364 discloses forming: a substrate 10, a bonding pad 11, a two component passivation layer, a first dielectric layer (silicon dioxide), a second dielectric layer (silicon nitride), a third dielectric layer can be a polyimide layer (fig. 3-5, col. 2, lines 60-65, col. 3, lines 1-35). Byrne '364 also teaches forming an opening to exposed the top surface of the bonding pad, depositing a barrier layer (fig. 3). Byrne '364 discloses typically bumps are connected to the bonds pads. Byrne '364 also discloses a barrier layer comprising a nickel-vanadium layer (col. 2, lines 40-43). Furthermore, Byrne '364 discloses the formation of the bump as well known in the art (col. 1, lines 10-25).

Efland et al. and Byrne '364 fails to show the second material being kept out of the gap and the first material being doped with fluorine atoms. However, Yabu et al.

Art Unit: 2822

teaches forming the second material being kept out of the gap and the first material being doped with fluorine atoms (Abstract, Fig. 6(b), 7-8, col. 3, lines 65-67).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time of the invention to modify Efland et al. reference by Byrne by including Byrne and Yabu et al. teachings. The modification would provide an interconnection that would exclude contaminants from the critical parts and having a decreased parasitic capacitance (Byrne, col. 1, lines 48-51; Yabu et al., Abstract).

Response to Arguments

3. Applicant's arguments filed January 2, 2004 have been fully considered but they are not persuasive. Claims 31-39 stand rejected.

Applicant argued that Yabu et al. does not teach forming the barrier layer and forming the bump. However, Efland et al. teaches forming a conducting barrier layer 30 over the dielectric layer, over sidewalls of the opening, and over the exposed top surface of the bond pad (Fig. 1b). Byrne '364 also teaches forming an opening to exposed the top surface of the bonding pad, depositing a barrier layer (fig. 3). Byrne '364 discloses typically bumps are connected to the bonds pads. Byrne '364 also discloses a barrier layer comprising a nickel-vanadium layer (col. 2, lines 40-43). Furthermore, Byrne '364 discloses the formation of the bump as well known in the art (col. 1, lines 10-25). Yabu et al. is cited as evidence to show that forming the second material being kept out of the gap and the first material being doped with fluorine atoms is well known in the art (Abstract, Fig. 6(b), 7-8, col. 3, lines 65-67).

Applicant argued that Yabu et al. teaches again the invention claimed. However, disclosed examples and preferred embodiments do not constitute a teaching away from a broader disclosure or nonpreferred embodiments. *In re Susi*, 440 F.2d 442, 169 USPQ 423 (CCPA 1971).

In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

Conclusion

4. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).


A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Art Unit: 2822

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Maria Guerrero whose telephone number is 571-272-1837.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Amir Zarabian can be reached on 571-272-1852. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


Maria Guerrero
Primary Examiner
April 2, 2004